As of October 31, 2026

# U.S. Growth & Income Basket

NATIONAL BANK FINANCIAL
WEALTH MANAGEMENT

### What is a Basket?

It is an investment model that is managed by a professional portfolio manager on a discretionary basis in your brokerage account. You directly own its underlying securities and receive the dividends and/or interest paid.

### Investment Strategy

The U.S. Growth & Income Basket consists mostly of U.S securities of large capitalization. While this allows for a diversified exposure to the U.S economy, it also provides the Basket with liquidity and a low turnover rate. The Basket consists of roughly 30 securities and risk will be controlled by defined parameters for the weighting of securities and sectors, and sector diversification. Your Investment Advisor may provide you with the complete Investment Policy Statement.

## Details

**Investment horizon**

| Less than 1 year | At least 1 year | At least 3 years | **At least 5 year** |
|---|---|---|---|

**Code** 844901
**Inception Date:** December 31, 2002
**Initial minimum investment:** $226,110 USD*
**Subsequent Investment:** $113,055 USD*
**Portfolio Manager:**
National Bank Financial
**Benchmark:**
Morningstar US TME TR USD

** Contingent on the market value of the Basket and accrued interests/dividends at the time of purchase.*

## Risk Management

This investment is not guaranteed and its value can go down as well as up.

| Low | Low to Medium | **Medium** | Medium to High | High |
|---|---|---|---|---|

## How much does it cost?

The fees you pay depend on the type of agreement you signed with your investment advisor. This agreement prevails over the following table. Should you have no such signed agreement, the following charges apply.

| Investment | Fees |
|---|---|
| $1 or more | 1.75% |

## Mandate Statistics

**Dividend Yield** 1.24

## Sector Allocation



| | |
|---|---|
| Technology | 32.68% |
| Consumer Services | 13.35% |
| Healthcare | 12.27% |
| Financial Services | 11.24% |
| Real Estate | 7.73% |
| Energy | 6.15% |
| Telecommunications | 4.13% |
| Other | 3.64% |
| Utilities | 3.01% |
| Industrial Goods | 2.90% |
| Industrial Services | 2.90% |

## Top Holdings (%)

| | |
|---|---|
| Eli Lilly and Co | 6.27% |
| NVIDIA Corp | 6.15% |
| Taiwan Semiconductor Manufactrg Co Ltd - ADR | 5.75% |
| Apple Inc | 4.88% |
| Marvell Technology Inc | 4.52% |
| Quanta Services Inc | 4.39% |
| Arista Networks Inc | 4.03% |
| Phillips 66 | 3.75% |
| Trane Technologies PLC | 2.90% |
| CSX Corp Sr 9 | 2.90% |
| **Total** | **45.54%** |

## Calendar Returns (%)

| YTD | 2025 | 2024 | 2023 | 2022 | 2021 | 2020 |
|---|---|---|---|---|---|---|
| 17.15 | 9.18 | 33.36 | 36.10 | -21.76 | 30.53 | 25.11 |

## Annualized Returns (%)

| 1 month | 3 month | 6 month | 1 year | 3 year | 5 year | 10 year | Since Inception |
|---|---|---|---|---|---|---|---|
| 0.29 | 3.76 | 6.08 | 14.28 | 25.73 | 13.88 | 17.65 | 10.70 |

Returns before management fees

## Disclosure

This summary may not contain all the information you need. Refer to the Discretionary Management Agreement for more detailed information. Should you require further information, please contact your National Bank Financial Investment Advisor. You can have access to this summarized fact sheet and the Disclosure Statement at http://nbfwm.ca/en/baskets.
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