As of October 31, 2026

# Asset Allocation Basket

NATIONAL BANK FINANCIAL
WEALTH MANAGEMENT

### What is a Basket?

It is an investment model that is managed by a professional portfolio manager on a discretionary basis in your brokerage account. You directly own its underlying securities and receive the dividends and/or interest paid.

### Investment Strategy

This Basket invests in a variety of asset classes and seeks to optimize the risk-return relationship. The manager will likely modify his investment mix (within a specified range) in order to reap the potential advantages associated with altering market conditions. Your Investment Advisor may provide you with an Investment Policy Statement.

## Details

**Investment horizon**

| Less than 1 year | At least 1 year | **At least 3 years** | At least 5 year |
|---|---|---|---|

**Code** 843971
**Inception Date:** January 1, 2000
**Initial minimum investment:** $143,849 CAD*
**Subsequent Investment:** $95,899 CAD*
**Portfolio Manager:**
Fiera Capital Corporation
**Benchmark:**
Morningstar Can 90 Day T-Bill GR CAD (5%)
Morningstar Can Core Bd GR CAD (45%)
Morningstar Canada GR CAD (30%)
Morningstar US TME TR CAD (10%)
Morningstar DM xNA TME GR CAD (10%)

** Contingent on the market value of the Basket and accrued interests/dividends at the time of purchase.*

## Risk Management

This investment is not guaranteed and its value can go down as well as up.

| Low | **Low to Medium** | Medium | Medium to High | High |
|---|---|---|---|---|

## How much does it cost?

The fees you pay depend on the type of agreement you signed with your investment advisor. This agreement prevails over the following table. Should you have no such signed agreement, the following charges apply.

| Investment | Fees |
|---|---|
| $1 or more | 1.50% |

## Mandate Statistics

**Dividend Yield** 1.72

## Asset Allocation (%)



| | |
|---|---|
| Canadian Equity | 32.68% |
| Canadian Corporate Bonds | 25.32% |
| US Equity | 8.95% |
| International Equity | 8.64% |
| Canadian Government Bonds | 8.23% |
| Foreign Government Bonds | 5.51% |
| Foreign Corporate Bonds | 4.52% |
| Derivatives | 4.32% |
| Canadian Bonds - Other | 1.40% |
| Foreign Bonds - Other | 0.35% |
| Other | 0.08% |

## Top Holdings (%)

| | |
|---|---|
| Global X Active U-Sh T Invest Grade Bond ETF (HFR) | 15.64% |
| Global X Active Cdn Bond ETF (HAD) | 12.99% |
| Global X Active Corporate Bond ETF (HAB) | 10.35% |
| Global X Active Global Fixed Income ETF (HAF) | 9.77% |
| iShares MSCI EAFE ETF (EFA) | 5.42% |
| Global X S&P/TSX Capped Comp Ind CC ETF (HXCN) | 5.34% |
| SPDR S&P 500 ETF Trust (SPY) | 4.40% |
| Invesco S&P 500 Eql Wght ETF (RSP) | 3.18% |
| WisdomTree Japan Hedged Equity ETF (DXJ) | 3.06% |
| Bank of Montreal | 2.00% |
| **Total** | **72.15%** |

## Calendar Returns (%)

| YTD | 2025 | 2024 | 2023 | 2022 | 2021 | 2020 |
|---|---|---|---|---|---|---|
| 7.00 | 10.02 | 15.07 | 11.66 | -5.25 | 9.84 | 6.76 |

## Annualized Returns (%)

| 1 month | 3 month | 6 month | 1 year | 3 year | 5 year | 10 year | Since Inception |
|---|---|---|---|---|---|---|---|
| 1.88 | 0.17 | 4.10 | 8.53 | 13.87 | 7.87 | 7.27 | 5.40 |

Returns before management fees

## Disclosure

This summary may not contain all the information you need. Refer to the Discretionary Management Agreement for more detailed information. Should you require further information, please contact your National Bank Financial Investment Advisor. You can have access to this summarized fact sheet and the Disclosure Statement at http://nbfwm.ca/en/baskets.
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MEMBER